



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/692,622
	Filing Date	10/24/03
	First Named Inventor	Vogedes et al.
	Art Unit	NA
	Examiner Name	NA
Total Number of Pages in This Submission	Attorney Docket Number	33692.03.3156

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	IDS cover sheet;
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	4 cited references;
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	return receipt postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Timothy J. Bechen Reg. No. 48,126
Signature	
Date	11/4/03

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Karenina Oliver
Signature	
Date	11/4/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vogedes et al.
Serial No.: 10/692,622
Filing Date: October 24, 2003
Confirmation No.: NA

Examiner: TBD
Art Unit: TBD
Our File No.: 33692.03.3156
Docket No.: 33692.03.3156

Title: **METHOD AND APPARATUS FOR SENDER CONTROLLABLE MODALITIES**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of First Class Mailing
I hereby certify that this paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Comm. For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

11/4/03
Date

Karenina Oliver
Karenina Oliver

INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(C) and 1.98, Applicants respectfully submit the following statement consisting of:

1. A list of documents;
2. General remarks.

A copy of the listed document is enclosed herewith along with Form PTO/SB/08A.

1. Documents

U.S. Publication No. 2003/0186845 A1, published December 12, 2002 (Dutta et al.);
U.S. Publication No. 2003/0105812 A1, published June 5, 2003 (Flowers, Jr. et al.);
U.S. Publication No. 2003/0103611 A1, published June 5, 2003 (Lapstun et al.); and
International Publication No. WO 02/09301 A2, published January 31, 2002 (Derosier et al.).

The submission of the above documents are not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

Respectfully submitted,

Date: November 4, 2003

By: 

Timothy J. Bechen

Registration No. 48,126

VEDDER, PRICE, KAUFMAN &
KAMMHOLZ
222 N. LaSalle Street
Chicago, IL 60601
(312) 609-7870
FAX: (312) 609-5005

